



NOTICE NUMBER: SCZ061119

NOTICE TO SECURITIES MARKET INTERMEDIARIES

LICENCE RENEWAL FOR THE YEAR 1 JANUARY 2020 TO 31 DECEMBER 2020

Renewal of licences for Securities Market Intermediaries (SMIs) traditionally commences on 1 November and closes on 31 December. The Securities and Exchange Commission of Zimbabwe hereby gives notice to all SMIs of the procedure to be followed for renewal of licences for the year beginning 1 January 2020.

1. No licence renewal application form is required to be completed.
2. SMIs must write to the Commission advising of significant changes that took place in the business during the year ending 31 December 2019. The statement of significant changes must be comprehensive, covering all material facts as well as any changed circumstances.
3. The comprehensive statement of significant changes must reach the Commission no later than 31 December 2019.
4. Please note that the old fees are no longer applicable, and the Commission shall advise of the new renewal fee structure in due course as well as the payment modalities.

Be guided accordingly.

For and on behalf of the Securities & Exchange Commission of Zimbabwe

A handwritten signature in black ink, appearing to be 'T. Chinamo', written over a circular stamp or seal.

T. Chinamo
Chief Executive Officer