



**SS22/08/2019**

**LICENSING FOR THE YEAR ENDING 31/12/19**

The Securities and Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities and Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26] and the Collective Investment Schemes Act [Chapter 24:19].

**A. SECURITIES DEALING FIRMS AND DEALERS**

1. ABC Stockbrokers (Private) Limited
  - Kudzai Gambinga
  - Arnold Tichafa Dhlamini
  
2. Akribos Securities (Private) Limited
  - Edmond Mupfapairi
  - Christopher Masendeke
  
3. Bethel Equities (Private) Limited
  - Courage Murandu
  - Geoffrey Senzo Mhlanga
  
4. EFE Securities (Private) Limited
  - Takudzwa Edgeton Tsanga
  - Phenias Mandaza

5. FBC Securities (Private) Limited
  - Benson Gasura
  - Richard Kumbuya Mashava
  - Manatsa Tagwirei
  
6. Fincent Securities (Private) Limited
  - Rufaro Zengeni
  - Ndodana Mguquka
  
7. First Securities (Private) Limited
  - Fidelis Chimhuka
  - Lloyd Mupfurutsa
  - Donny Masayiti
  - Njabulo Siso Sibanda
  
8. Imara Edwards Securities (Private) Limited
  - Mark John Steele Tunmer
  - Thedias Kasaira
  - Derrick Ncube
  - Shelton Sibanda
  
9. Inter Horizon Securities (Private) Limited
  - Daniel Chigariro
  - Kudzai Machuma
  - Lloyd Gugulethu Mlotshwa
  
10. Invictus Securities Zimbabwe (Private) Limited
  - Tinashe Magodora
  - Wellington Mapedzamombe
  
11. Lynton-Edwards Stockbrokers (Private) Limited
  - Langton Tonderayi Nyatsanza

- Murray Angus Lynton-Edwards
  - Onard Mazorodze
12. Mast Stockbrokers (Private) Limited
- Leonard Peter Marriott
  - Arnold Cuthbert Chibvongodze
13. MMC Stockbrokers (Private) Limited
- Edward Mapokotera
  - Itai Walter Chirume
14. Morgan & Co. (Private) Limited
- Davide Muchengi
  - Lungani Nkosilathi Nyamazana
15. Old Mutual Securities (Private) Limited
- Tevian Chauraya
  - Reggies Mtambo
16. Platinum Securities (Private) Limited
- Tonderai Marira
17. Remo Investment Brokers (Private) Limited
- Mohmed Iqbal Mahmed
  - John Motsi
18. Southern Trust Securities (Private) Limited
- Thandiwe Shonhiwa
  - Zvisinei Nyakudya

## **B. SECURITIES INVESTMENT/ASSET MANAGEMENT COMPANIES**

1. ABC Asset Management (Private) Limited
2. Akribos Wealth Managers (Private) Limited
3. Alpha Asset Management (Private) Limited
4. Atria Asset Management (Private) Limited
5. CBZ Asset Management (Private) Limited
6. Equivest Asset Management (Private) Limited
7. Fidelity Life Asset Management (Private) Limited
8. First Mutual Wealth Management (Private) Limited
9. Imara Asset Management Zimbabwe (Private) Limited
10. Invesci Asset Management (Private) Limited
11. MHMK Asset Management (Private) Limited
12. Nyaradzo Asset Management Company (Private) Limited
13. Old Mutual Investment Group Zimbabwe (Private) Limited
14. Platinum Investment Managers (Private) Limited
15. Purpose Asset Management (Private) Limited
16. Smartvest Wealth Managers (Private) Limited
17. TN Asset Management (Private) Limited
18. Zimnat Asset Management Company (Private) Limited

### **C1. SECURITIES INVESTMENT ADVISORS**

1. Access Finance (Private) Limited
2. Advanced Wealth Solutions (Private) Limited
3. African Banking Corporation of Zimbabwe Limited
4. Akribos Advisory Services (Private) Limited
5. AMG Global Advisory Services (Private) Limited
6. Atria Investment Advisors (Private) Limited
7. Baker Tilly Capital (Private) limited
8. Bridgevest Advisory Services (Private) Limited
9. Carrick Wealth Zimbabwe (Private) Limited

10. CBZ Holdings Limited
11. Corporate Excellence Advisory Services (Private) Limited
12. Cosmos Capital Limited
13. DBF Capital Partners (Private) Limited
14. Deloitte Advisory Services (Private) Limited
15. deVere Zimbabwe (Private) Limited
16. Ernest & Young Associates (Private) Limited
17. FBC Bank Limited
18. Finesse Advisory Services (Private) Limited
19. First Capital Bank Limited
20. Fronteras Corporate Advisors (Private) Limited
21. Grant Thornton Camelsa Advisory (Private) Limited
22. IH Advisory (Private) Limited
23. Imara Corporate Finance Zimbabwe (Private) Limited
24. Intellego Investment Consultants (Private) Limited
25. Invesci Corporate Finance (Private) Limited
26. KPMG Advisory (Private) Limited
27. Lloyd Corporate Capital (Private) Limited
28. Mangwana Capital (Private) Limited
29. Met Advisory Services (Private) Limited
30. NMB Bank Limited
31. Nedbank Zimbabwe Limited
32. Platinum Financial Solutions (Private) Limited
33. PriceWaterhouseCoopers Business Services (Private) Limited
34. Profinad (Zimbabwe)(Private) Limited
35. Stanbic Bank Zimbabwe Limited
36. TN Financial Services (Private) Limited
37. Tri African Capital (Private) Limited
38. ZB Capital (Private) Limited

#### **D. SECURITIES CUSTODIANS**

1. CABS Custodial Services (Private) Limited
2. CBZ Bank Limited
3. FBC Bank Limited
4. Stanbic Bank Zimbabwe Limited
5. Standard Chartered Bank Zimbabwe Limited
6. Steward Bank Limited

#### **E. SECURITIES TRANSFER SECRETARIES**

1. Corpserve Registrars (Private) Limited
2. First Transfer Secretaries (Private) Limited
3. ZB Transfer Secretaries (Private) Limited

#### **F. SECURITIES TRUSTEES**

1. CABS Custodial Services (Private) Limited
2. Stanbic Bank Zimbabwe Limited
3. ZB Bank Limited

#### **G. SECURITIES EXCHANGES**

1. Financial Securities Exchange (Private) Limited
2. Zimbabwe Stock Exchange Limited

#### **H. SECURITIES DEALERS NOT AFFILIATED TO A FIRM**

1. Bartholomew Mswaka
2. Rangarirai Mtungwazi
3. Seti Shumba

#### **I. CENTRAL SECURITIES DEPOSITORY**

1. Chengetedzai Depository Company Limited

**For and On Behalf of the Securities and Exchange Commission of Zimbabwe**

Tafadzwa Chinamo

**Chief Executive Officer**

22 August 2019